Center on Rural Addiction UNIVERSITY OF VERMONT



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A Multigenerational Approach to the Prevention and Treatment of Substance Use Disorders

Peter R. Jackson, MD

Pediatric Psychiatrist and Assistant Professor

University of Vermont Larner College of Medicine, Burlington, VT



Session Objectives

- Recognize the role genes and shared environment play in multigenerational perpetuation of substance use disorders
- Understand familial risk and protective factors for substance use
- Identify key stages of the individual and family life-cycle for multigenerational interventions
- Increase confidence to invite family members to support treatment and participate in preventive measures
- Recognize opportunities for prevention of further harm from substance use disorders, no matter the age or life stage of the individual whom we are treating.



"My heart is the least of my problems."





When is the right time for prevention?

- "That's really cool, you'll be able to do not only treatment but prevention with that age!"
- A life-cycle and multigenerational approach can help us get rid of the tendency think "too late" or "too early"



Room for Improvement in Prevention

JAMA | US Preventive Services Task Force | EVIDENCE REPORT

Interventions to Prevent Illicit and Nonmedical Drug Use in Children, Adolescents, and Young Adults Updated Evidence Report and Systematic Review for the US Preventive Services Task Force

Elizabeth O'Connor, PhD; Rachel Thomas, MPH; Caitlyn A. Senger, MPH; Leslie Perdue, MPH; Shannon Robalino, MSLS; Carrie Patnode, PhD, MPH

CONCLUSIONS AND RELEVANCE The evidence for behavioral counseling interventions to prevent initiation of illicit and nonmedical drug use among adolescents and young adults was inconsistent and imprecise, with some interventions associated with reduction in use and others associated with no benefit or increased use. Health, social, and legal outcomes were sparsely reported, and few showed improvements.



"Happiness is having large, loving, caring, close-knit family in another city."

– George Burns

"A dysfunctional family is any family with more than one person in it." – Mary Karr

"Home is where you are loved the most and act the worst."

– Marjorie Pay Hinckley

"All happy families are alike, each unhappy family is unhappy in its own way." – Leo Tolstoy





Both genes and environment play a role in the heritability of substance use disorders





Genes and Addiction





Heritability of Substance Use Disorders



Nature of Nurture?



Kendler L et al, 2015



In Utero Exposure: From the Beginning

Tobacco

• > 2 X likely to smoke during adolescence (Porath & Fried 2005)

Alcohol

- More predictive of adolescent alcohol use than family history (Baer et al., 1998)
- Increased risk for cigarette use and substance use disorders (O'Brien & Hill 2014)

Cannabis

• 2X more likely to smoke cigarettes daily, use marijuana in adolescence (Porath & Fried, 2005).

Cocaine

 > 2 X likely to use tobacco and marijuana and have an SUD at age 17 (Minnes et al., 2017)



Epigenetics: Before the Beginning

- Transgenerational effects of environmental toxins on offspring
- More data comes from rodent models, but several studies in humans
- Both maternal and paternal impacts, with an additive effect
- Impairments and impact on next generation include:
 - Decreased fertility
 - Developmental abnormalities
 - Anxiety and depression-like phenotypes
 - Impairment in learning/memory/attention
 - Altered responsiveness to substances (liking it more)

Vassoler, 2014

Living with a Parent with a SUD





Samsa.gov; NSDUH 2009-2014



Adverse Childhood Experiences

ABUSE

0%	2	5%	50%	75%
	11%			EMOTIONAL
		28%	5	PHYSICAL
	21%			SEXUAL

HOUSEHOLD CHALLENGES



NEGLECT



https://www.cdc.gov/violenceprevention/acestudy/about.html



Adverse Childhood Experiences



https://www.cdc.gov/violenceprevention/acestudy/about.html



Hypothetical Developmental Sequence of the Cause of Substance Use Disorders



©2000 by American Academy of Pediatrics

Joseph Biederman et al. Pediatrics 2000;106:792-797



Childhood Exposure

- Adverse Childhood Experiences (ACEs) lead to increase likelihood of SUD which leads to an increase likelihood of ACEs for the next generation. (Dube et al, 2003)
- ACEs contribute additively to the risk of SUD with mood and anxiety disorder along the causal path. (Douglas et al 2010)
- Adolescence is a particularly high-risk period for the exposure to parental SUD. (Biederman et al 2000)
- Some studies show a stronger link with Maternal SUD than paternal SUD, particularly among females. (Yule et al 2018, Yule et al 2013)



Critical Periods of Exposure to Parental Substance Use Disorder



Exposure to Parental Substance Use Disorder

Joseph Biederman et al. Pediatrics 2000;106:792-797





Alyssa Schukar, The New York Times, 2019

Exposure to Parental Use

- Parental cannabis use associated with child initiation and use
- One parent using doubles risk of starting compared to peers with parents who don't use
 - No statistical difference between maternal and paternal use
- Two parents using increased risk of child initiation and use by 7- fold



Why take the family perspective?





We know a lot about family risk and protective factors for perpetuation of SUD



Baumrind Parenting Styles





Parenting and the Social Network

- The Influence of an Authoritative Mother Extends Beyond Her Own Child and Into the Social Network.
- The association of a close friend's mother almost as powerful as own mother



Shayka et al 2012



Parental Influence: Knowledge



Cleveland et al. 2012





Cleveland et al. 2012



"Talk, they hear you"

Express Disapproval

- Actions speak louder than words. (Ebersole, 2014)
- Words speak louder than no words. (Mrug 2013)
- Permissive parental attitude associated with 8-fold increase in past 30-day cannabis use, 14-fold increase in weekly use. (Olsson 2003)
- Difference more dramatic for 9th graders than 11th graders







Individual

Early aggressive behavior; male gender; untreated psychiatric illness especially ADHD, mood disorders, PTSD and learning disorder; history of physical or sexual abuse, low self esteem; academic underachievement; poor social skills; peer rejection



Family

Family history of substance abuse; poor parental or sibling modeling behaviors; chaotic home environment; poor parent-teen communication; high family conflict, or witnessing domestic violence; permissive or neglectful parenting style



Community

High prevalence of substance abuse in the community including availability of substances and tolerance of their use, lack of supportive relationships with other caring adults



Individual

High impulse control and emotion regulation skills.

Parents

Positive modeling behaviors; excellent communication skills; high level of emotional support combined with limit setting and consistent enforcement of rules (authoritative model); appropriate supervision.

Peers

Non-substance using friends; presence of peers with authoritative parents

Community

Zero tolerance policies; strong community attachment, low availability of substances

School

Extracurricular activities; sports; positive role modeling in teachers and coaches; educational programming



Building Resilience - Family Rituals



- Family Dinner
- Evening/bedtime
- Weekends
- Vacations
- Visitors
- Holidays

Wolin 1980



Though there is never a wrong time, there are some key stages for intervention



Age at Onset of DSM-IV Drug Abuse and Dependence



Compton et al. Arch Gen Psychiatry/ Vol 64, May 2007; 45(11): 1294 - 1303



Earlier Exposure = Higher Risk

Age of first drink	Development of SUD
Before 14	15%
After 21	2%

SAMHSA, NSDUH 2013


Prevalence

	13-14 y	15-16 y	17-18 y
Any SUD	3.7 %	12.2 %	22.3 %

Merikangas et al. 2011



Education About Developmental Stages



Erik Erikson, Developmental Theory and psychology





"Kids will be kids..."

- "I just make sure they've all given up their car keys and make sure it stays in my own basement"
- "They only listen to their friends, that's normal for teenagers."
- "The more strict I am, the more they'll use."
- "A little rebellion is normal, all kids do it."
- "I'd be a hypocrite if I told them not to use it when I did/do."

Do "all teenagers experiment a little"?

Center on

Rural Addiction



Drugabuse.gov, MTF data



Individual and Family "Lifecycle Hotspots"

Perinatal and postnatal

"This affects two of us."

• Latency

Primary preventive age

• Teens

Gas before brakes

• College Age/Early Adulthood Social risk factors, acceptability

- Empty Nest
 Loss of meaning
- Retirement

Generativity vs. stagnation, loss of purpose

- Senior years
 - Loneliness



Family based preventive strategies have been validated



Positive Parenting

- Communication: calm and clear
- Encouragement: of positive behaviors
- **Negotiation:** working towards solution when conflict arises
- Setting limits: calmly and in proportion to behaviors
- Supervision: know friends and schedule





Strengthening Families 10-14

Points of emphasis

- "Love and Limits"
- Acknowledging strengths
- Supporting Goals
- Improving communication
- Clear family rules and values
- Quality time
- Preparing for peer pressure

LOVE AND LIMITS





Icelandic Model of Prevention – Planet Youth

Points of emphasis

- Primary prevention
- Say "yes", not just "no"
 - "Leisure card"
 - Extracurricular clubs
 - Music
 - Sports
- Quality family time
- Knowing where kids are
- Community commitment
- Parents knowing parents



planetyouth.org



Family based treatments have also been well studied



Mothering From the Inside Out: Targeted Intervention for Mothers with SUD and Children Ages 1-5

Short Term Goals

- Positive therapeutic experience, validation
- Makes sense and meaning of affective experiences

Long Term Goals

- Improved maternal emotion regulation
- Restore capacity for human attachment (attachment to child replaces attachment to substances)
- Increase enjoyment of child, tolerance of child's distress
- Increase understanding of child's needs and support for development of emotional regulation in the child

Suchman 2017



Mothering From the Inside Out: Targeted Intervention for Mothers with SUD and Children Ages 1-5

Results of two randomized trials

- Higher degree of reflective functioning
- Improved caregiving behavior
- Clearer efforts from children to communicate with their mother
- Lower rates of relapse

12 month follow up

- Greater maternal sensitivity
- Improved child involvement in interactions
- Better dyad reciprocity
- Protective benefits demonstrated even with greater addiction severity

Suchman 2017



Evidence-Based Family Treatments

In a meta-analysis reviewing psychosocial treatments for adolescent substance use, 5 out of 6 treatments that were found to have promising to excellent empirical support were family-based:

- Multidimensional Family Therapy
- Functional Family Therapy
- Multisystemic Family Therapy
- Brief Strategic Family Therapy
- Family Behavioral Therapy



Drug Policy Alliance, Getty Images

Waldron, 2008



Themes: Evidence-Based Family Treatments

- Contingency management
- Improving communication
- Parent training for effective discipline
- Conflict resolution techniques
- Behavioral contracts
- Motivational interviewing/motivational enhancement
 - For both family and adolescent
- Involving and connecting multiple systems
 - Home, school, neighborhood, clinic, social groups



Evidence-Based Interventions for Concerned Loved Ones

- Community Reinforcement and Family Therapy (CRAFT) is evidence-based for the concerned loved ones of adolescents or adults.
- CRAFT can be modified and targeted specifically towards adolescents with incorporation of other behavior training principles. (Kirby et al. 2015)
 - Guidance about behavior monitoring
 - Effective/appropriate discipline
- Randomized controlled trial is underway.



Getty Images



CRAFT Principles

- Safety training
- Functional analysis
- Positive reinforcement/contingency management
- Competing reinforcing activities
- Planned ignoring
- Natural consequences
- Communication skills training
- Treatment entry training (preparing to invite)
- Life enrichment for concerned loved one



CRAFT vs. Other Unilateral Strategies

Method	Family member's engagement in treatment	
CRAFT	64-74%	
Johnson Intervention	23-30%	
Al-Anon/Nar-Anon facilitation	13-29%	



"How can we help make the hard things talk-about-able?"



MARJORIE E. KORFF PACT PROGRAM Parenting At a Challenging Time

Providing parent guidance consultations to parents with cancer and their partners

Parents and children benefit from being able to discuss parental cancer

- Using age-appropriate language and communication
- Guidance for communication at various stages of disease
- Secrets are often scarier unsaid
- Strengthening confidence in the role of parent even if sick





Parents and children benefit from being able to discuss parental PTSD

- "Families Overcoming Under Stress"
- Emotional regulation
- Communication
- Problem solving
- Goal setting
- Managing reminders of stress





WHEN A PARENT IS DEPRESSED

HOW TO PROTECT YOUR CHILDREN FROM THE EFFECTS OF DEPRESSION IN THE FAMILY

> "A remarkable resource for any family struggling with depression." —T. Berry Brazelton, M.D.

WILLIAM R. BEARDSLEE, M.D.

Parents and children benefit from being able to discuss parental depression

- Finding ways to communicate effectively about parental illness
- Share your history
- Keep an open dialogue
- Share with your child that you are getting help
- Address the needs of your children



So, wouldn't parents and children benefit from being able to discuss parental SUD?





Getty Images

"Anything that's human is **mentionable**, and anything that is **mentionable** can be more **manageable**. When we can talk about our feelings, they become less overwhelming, less upsetting, and less scary."

- Mr. Fred Rodgers



What is your family's story?

- Are there multigenerational patterns?
- Creating a family narrative (Saltzman, 2013)
 - Balanced narratives are the most healthy
 - We aren't perfect, we aren't all bad
 - We strive through challenges
 - Kids who know where they come from have higher resilience (Duke, 2008)



Wall Street Journal, 2015



If There is Parental or Family History of SUD:

- If kids ask directly, an honest, straightforward answer is encouraged
 - "When is my child ready to hear about this?"
- Details of past use aren't needed
- Glorifying language is dangerous
- Kids often know more than parents think they know



Having Hard Conversations: Family Therapy 101

- Be planful
- If it gets heated, take a break and decide when to try again
- Perspective taking and reflective listening
- "Invalidating the invalid is still invalidating"
- Use of a "talking object" to prevent interruption
- Use of "I" statements rather than accusations



Communicating with Children Affected by Parental SUD: The 7C's

- I didn't cause it.
- I can't **cure** it.
- I can't **control** it.
- I can care for myself,
- By communicating my feelings,
- Making healthy choices, and
- By celebrating myself.



Sesame Street Addresses Parental Addiction



Salia and Karli from Sesame Street

- "They were gone for 60 days but it felt like 60 years."
- "For any sickness, people need treatment to feel better."



Thinking about some of the "soft skills"





A Motivational Enhancement Approach

- Unconditional positive regard
- Being a privileged witness to change
- Accurate empathy, acceptance, absolute worth, autonomy
- Do Justly, love mercy, walk humbly



Attention to Our Biases







Balancing Empathy





So, what might incorporating a multigenerational approach look like?

Early on:

- Know what symptoms might occur in families affected by SUD
- Know the benefit of early intervention and prevention
- Get comfortable asking about it
- Be familiar with community resources
 - School, youth groups, other family and friends,
- Know state and national resources
- Include appropriate screening at every visit with both parents and children
- Empathically express concern and support



Asking Good Questions

For Adults

- Have you ever wondered about the impact substance use has had on others in your family?
- What does your family know about your difficulty with substance use? Would you like help deciding when and how to share?
- Have you spoken with your children about the risks of substance use? Would you like help getting ready to do that?

For Children

- Have you ever worried about someone in your family who is drinking alcohol or using drugs?
- What would be the pros and cons up your parents being aware of your substance use? What do you imagine it would look like?



So, what might incorporating a multigenerational approach look like?

Later

- Seek support and share the emphasis with colleagues and other members of the clinical staff
- Teach about the impacts
- Evaluate current resources available to the family
- Determine when and whom to invite into the family-based treatment plan
- Acquire knowledge about medical and behavioral treatment of substance use disorders for any member of the family
- Appropriately use consultation and community resources and lead a coordinated effort amongst a team of providers
- Provide availability for follow up and monitoring



Current UVM Pilot Study

Decreasing Transgenerational Perpetuation of Substance Use Disorders

- Parents with a SUD at least in early remission
- Children ages 12-21
- Co-parents if amenable
- 9 session curriculum
- Family wellness measure pre and post, at 6 months
- Parent symptom inventory
- Child symptom inventory
- Attitudes about substance use questionnaire pre and post


Current UVM Pilot Study

Decreasing Transgenerational Perpetuation of Substance Use Disorders

- Creating a family genogram, "the family tree"
- Creating a parent narrative about impact of SUD
- Creating a child narrative about impact of SUD
- Strengthening capacity for communication
- Combining narratives
- Understanding addiction and decreasing stigma
- Understanding developmental impacts of SUD
- Focus on resilience



Challenging Questions

- Is it "fair" to the parent's privacy to suggest that they disclose their SUD to their child?
- What is the difference between privacy and secrecy?
- Is it tolerable or safe for a child to know about parental SUD? Can they handle it?
- Does thinking about the next generation take away your focus on the individual?
- Would thinking about the impact on the next generation inspire a parent to make change? Or increase guilt and shame?
- Do you have to have dual training to take a multigenerational approach?
- What stage of parent recovery is the right time to include younger generations?
- Which families will benefit most from this approach?



There's no time like the present



Conclusion/Clinical Pearls

- Parent voice about substance use matters, even if and maybe especially if they themselves have struggled or are struggling with SUD
- Balanced family narratives that include struggle, striving and overcoming are healthy for children
- If it's mentionable it's manageable
- We have opportunities for prevention, no matter who we're working with. Thinking in a cyclical way may open our eyes to these opportunities.
- Prevention and treatment are not mutually exclusive



Resources

- AACAP facts for families
- SAMHSA.gov/parent resources
- <u>www.drugabuse.gov</u>
- <u>https://teens.drugabuse.gov</u>
- <u>www.niaaa.nih.gov</u>
- <u>www.nida.nih.gov</u>
- Monitoringthefuture.org
- www.nacoa.org (National Association for Children of Addiction)
- www.al-anon.org
- <u>www.alateen.org</u>



Questions?

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Thank you for participating in this Community Rounds Workshop Series

Our next session will be held on December 9, 2020 from 12-1pm "Smartphone-based Financial Incentives to Promote Smoking Cessation Among Pregnant Women"

Allison Kurti, PhD

Register now http://rb.gy/9qk324

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